IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Sadao MIZUNO et al. :

Serial No. NEW : Attn: APPLICATION BRANCH

Filed October 29, 2003 : Attorney Docket No. 2003_1572

CONVERGING ELEMENT, OPTICAL HEAD, AND APPARATUS AND METHOD OF OPTICALLY RECORDING AND REPRODUCING INFORMATION (Rule 1.53(b) Divisional

(Rule 1.53(b) Divisional of Serial No. 09/700,343, Filed November 14, 2000)

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to initial examination of the above-identified application, kindly amend the application as follows: